

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING  
A FILING UNDER 35 U.S.C. 371

013344-9052-00

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/501390

INTERNATIONAL APPLICATION NO.  
PCT/GB03/00080

INTERNATIONAL FILING DATE  
13 January 2003

PRIORITY DATE CLAIMED  
14 January 2002

TITLE OF INVENTION

LOCKING MECHANISM

APPLICANT(S) FOR DO/EO/US

Glen William Wallis and Rob Todd

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 351(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected. (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau. (A courtesy copy is attached)
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (refs. communicated by the IB). Courtesy copy of refs. attached.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information

U.S. APPLICATION NO. (if known, see 37 CFR 1.53)

**10/501390**

INTERNATIONAL APPLICATION NO.

**PCT/GB03/00080**

ATTORNEY'S DOCKET NUMBER

**013344-9052-00**21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492(a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and

International Search Report not prepared by the EPO or JPO ..... **\$1080.00**

International preliminary examination fee (37 CFR 1.482) not paid to

USPTO but International Search Report prepared by the EPO or JPO ..... **\$920.00**

International preliminary examination fee (37 CFR 1.482) not paid to

USPTO but international search fee (37 CFR 1.445(a)(2)) paid to

USPTO ..... **\$770.00**

International preliminary examination fee (37 CFR 1.482) paid to

USPTO but all claims did not satisfy provisions of PCT

Article 33(1)-(4)..... **\$730.00**

International preliminary examination fee (37 CFR 1.482) paid to

USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... **\$100.00****ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 920.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	6 - 20 =	0	x <b>\$18.00</b>
Independent claims	2 - 3 =	0	x <b>\$86.00</b>
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ <b>\$290.00</b>
<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$ 920.00

\$

Total claims 6 - 20 = 0 x **\$18.00**

\$

Independent claims 2 - 3 = 0 x **\$86.00**

\$

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + **\$290.00**

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 920.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$

**SUBTOTAL =**

\$ 920.00

Processing fee of **\$130.00** for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$ 920.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

\$

**TOTAL FEES ENCLOSED =**

\$ 920.00

Amount to be refunded:

\$

charged:

\$

a. ☒ A check in the amount of \$920.00 to cover the above fee is enclosed.b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-3080. A duplicate copy of this sheet is enclosed.d. ☐ Fees are to be charges to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

David R. Price

Michael Best &amp; Friedrich LLP

100 East Wisconsin Avenue

Milwaukee, Wisconsin 53202-4108 CUSTOMER NO. 23409

SIGNATURE

David R. Price

NAME

31,557

REGISTRATION NUMBER

Express Mail Label No. EV323213846US

I hereby certify that this paper or fee is being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date of my signature and is addressed to Mail Stop PCT, Assistant Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313.

*Sharon A. Johnson**July 14, 2004*

Sharon A. Johnson

Date